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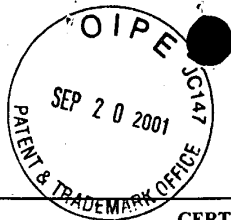
TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/731,941
	Filing Date	December 6, 2000
	First Named Inventor	James M. ALLEN
	Group Art Unit	1632
	Examiner Name	To Be Assigned
Total Number Of Pages In This Submission	14 + 10 references	Attorney Docket No. 226272001403

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ENCLOSURES (check all that apply)		
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<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. Form PTO-1449 + copy - 10 pages
<input checked="" type="checkbox"/> Information Disclosure Statement - 3 pages	<input type="checkbox"/> Request for Refund	2. Ten (10) references
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT	
Firm or Individual Name	Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018
Signature	Catherine M. Polizzi (Registration No. 40,130)
Date	September 11, 2001

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on September 11, 2001.	
<u>Laura Shires</u> Laura Shires	
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Laura Shires
Laura Shires

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

James M. ALLEN

Serial No.: 09/731,941

Filing Date: December 6, 2000

For: PACKAGING CELL LINES FOR
GENERATION OF HIGH TITERS OF
RECOMBINANT AAV VECTORS

Examiner: To Be Assigned

Group Art Unit: 1632

INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents numbered 6, 8, 9-10, 13, 15, 38, 42, 59, and 60 are submitted herewith. However, documents numbered 1-5, 7, 11-12, 14, 16-37, 39-41, 43-58, and 61-79 were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 08/564,167, filed December 18, 1995, and, accordingly, copies are not

included herewith. This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609(A)(2).

The Examiner is requested to make these documents of record in the application.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of * is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by

third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 226272001403. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: September 17, 2001

Respectfully submitted,

By: Catherine M. Polizzi
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